



Part 2B of Form ADV: TKC Wealth Management, LLC – *Supplemental Brochure*

Item 1: Cover Page

TKC Wealth Management, LLC
12900 Preston Road, Suite 700
Dallas, Texas 75230

(214) 389-2000

Daniel Chen <Daniel.Chen@tkcadvisors.com>
Web site: www.tkcadvisors.com

03/23/2026

Ting Kuo Daniel Chen

This brochure supplement provides information about Ting Kuo Daniel Chen that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ting Kuo Chen is available on the SEC's website at www.adviserinfo.sec.gov.



Item 2: Educational Background and Business Experience

Ting Kuo Daniel Chen – Managing Director – Separate Accounts

Year of Birth 1959

Education: 1988 – University of Texas at Dallas, Master of Managerial Administrative Science

Professional Designations: Certified Public Accountant (CPA)

CPA is the professional designation given to accountants who have passed the Uniform Certified Public Accountant Examination, set by the American Institute of Certified Public Accountants, and have met additional state education and experience requirements. The majority of education and experience requirements mandate education beyond a bachelor's degree in addition to a minimum amount of actual work experience before a candidate becomes a CPA. The designation incorporates an ethics requirement as well as continued professional education. Please note that TKC Wealth Management, LLC nor Mr. Chen provide tax, auditing or any other accounting services in connection with investment management services provided by TKC Wealth Management, LLC or Mr. Chen. Mr. Chen also has no signing authority over any client accounts.

Certified Financial Planner (CFP)

The CFP designation is an international designation given to financial planners who have passed the CFP Board Certification Examination in addition to completing education, experience, and ethics requirements. The certification criteria incorporates areas including, but not limited to: (i) general principles of finance and financial planning; (ii) insurance planning; (iii) employee benefits planning; (iv) investment and securities planning; (v) state and federal income tax planning; (vi) estate tax, gift tax, and transfer tax planning; and retirement planning. CFPs must also have at least the equivalent of three years full-time experience in the financial planning field and be approved by the Board, which includes an ethics, character, and criminal check.

Additional Licenses (FINRA and State)

Mr. Chen is a licensed registered representative, FINRA Series 6 and 63 since 1993, Series 7 since 1994 and a licensed investment advisor Series 65 since 2002.

Business Background:	2015 – Present	TKC Wealth Management, LLC-Chief Executive Officer, Purchased Civitas Wealth Management, LLC
	2017 – Present	Landolt Securities, Inc. – Registered Representative
	2011 – 2015	Civitas Wealth Management, LLC – Managing Director, Separate Accounts
	2001 – 2011	Chase Investment Service Corp. – Financial Consultant

Item 3: Disciplinary Information

Ting Kuo (Daniel) Chen is in the process of a customer arbitration from 2024 alleging misrepresentation, breach of contract and breach of fiduciary duty. The matter is under investigation.

Daniel Chen was subject to a customer arbitration in 2024 alleging an unsuitable investment recommendation. The matter was resolved in 2025 through a Settlement where Landolt Securities / Daniel Chen paid \$140,000.

Item 4: Other Business Activities

A

1. Ting Kuo (Daniel) Chen is an insurance agent for TKC Risk Advisors, LLC, a Texas limited liability company, located at 12900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Mr. Chen



is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors, LLC. Mr. Chen is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above, it is anticipated that the Firm and its clients may transact with TKC Risk Advisors, LLC, which creates an actual or potential conflict of interest given Mr. Chen's affiliation with the entity. This conflict will be addressed by providing appropriate client disclosure of any such business relationships, as well as ensuring that the terms of all such relationships shall be no less than commercially reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Management Fees, Bonus, or Other Compensation

Ting Kuo (Daniel) Chen is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Ting Kuo (Daniel) Chen does have an incentive to recommend investment products based on the commission or management fees received, rather than on the client's need.

A.

2. Mr. Chen is a registered representative with an unaffiliated broker dealer, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 900 W. North Shore Drive Suite 279, Lake Bluff IL 60044.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Daniel Chen's spouse Tina Hou holds a managing director position and fractional ownership in HC2. HC2 offers alternative investment products. TKC Wealth Management and its employees and affiliates may offer clients HC2 products. If TKC Wealth Management (TKCW) offers HC2 products to Clients, full disclosure will be made to the Client of the existing relationship between Tina Hou (HC2) and Daniel Chen (TKCW). The Client will make the decision whether to make an investment with HC2 or not to invest with HC2.

Receipt of Commission, Bonus, or Other Compensation

Ting Kuo (Daniel) Chen is currently eligible to receive commissions based on the sale of securities or other investment products being an independent registered representative of Landolt Securities, Inc. By having the potential to receive commissions, bonuses, or other compensation Daniel Chen incentive to recommend investment products based on the compensation received, rather than on the client's need.

A.

3. Ting Kuo (Daniel) Chen a registered Investment Advisor Representative, of TKC Wealth Management, LLC.

Actual or Potential Conflicts

Actual or Potential Conflicts

It is anticipated that the Firm and its Clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given that various security products with differing internal fees may be purchased on a management fee basis. This conflict will be addressed by providing appropriate client disclosure of any such conflicts as well as ensuring that the terms of the management fee shall be no less than reasonable and competitive with management fees that would be earned by other Investment Advisor Representatives.



Receipt of Management Fees

Ting Kuo (Daniel) Chen is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Ting Kuo (Daniel) Chen has incentive to manage client accounts as a fiduciary, putting the client first.

B. Mr. Chen is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

A. TKC Risk Advisors, LLC is solely owned and operated by Mr. Chen. Mr. Chen discloses this to clients when discussing insurance products with clients. Insurance products are generally not held in fee based accounts thus minimizing conflicts of interest between the investment advisor and insurance agent. This Insurance Agent is supervised by Mr. Ting Kuo (Daniel) Chen.

Mr. Chen can be reached at 214-389-2000

B. This professional is supervised by Mr. Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission bases. Ongoing comparisons of fee based purchases verses commission based purchases are conducted. Mr. Chen communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151.

C. This professional is supervised by Tyler Reinhard, Chief Compliance Officer at TKC Wealth Management, LLC for security products available on a management fee based compensation. Daniel Chen communicates on a regular basis with Mr. Reinhard.

Mr. Reinhard can be reached at 214-389-2000.

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12900 Preston Road, Suite 700
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(214) 389-2000

Tyler Reinhard tyler.reinhard@tkcadvisors.com
[Website: www.tkcadvisors.com](http://www.tkcadvisors.com)

June 25, 2025

Tyler John Reinhard

Additional information about Tyler J. Reinhard is available on the SEC's website at www.adviserinfo.sec.gov.

Item 6: Supervision

- A. This professional is supervised by Daniel Chen, Principal at TKC Wealth Management, LLC. No production is conducted by Mr. Reinhard. Tyler Reinhard communicates on a regular basis with Mr. Chen.

Mr. Reinhard can be reached at 214-389-2000

Mr. Chen can be reached at 214-389-2000

- B. This professional is supervised by Donald McKiernan at Landolt Securities, Inc. for his supervisory role as well as for his registered representative role at Landolt Securities, Inc.

Donald McKiernan can be reached at 847-838-5151

- C. This professional is supervised by Matthew McKiernan at Landolt Securities, Inc. for his investment advisor representative role at Landolt Securities, Inc.

Matthew McKiernan can be reached at 847-838-5151.

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12900 Preston Road, Suite 700
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anders.ting@tkcadvisors.com
Web site: www.tkcadvisors.com

6/25/2025

Anders P Ting

This brochure supplement provides information about Anders P Ting that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Anders P Ting is available on the SEC's website at www.adviserinfo.sec.gov.

Anders P Ting is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Anders P Ting has incentive to manage client accounts as a fiduciary, putting the client first.

A.

3. Anders P Ting is an insurance agent for TKC Risk Advisors, LLC, a Texas limited liability company, located at 12900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Anders P Ting is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with TKC Wealth Management or Landolt Securities which creates an actual or potential conflict of interest given insurance products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other registered investment advisors or broker dealers holding the product selling agreement.

Receipt of Commission, Management Fees, Bonus, or Other Compensation

Anders P Ting is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Anders P Ting does have an incentive to recommend investment products based on the commission or management fees received, rather than on the clients need.

B. Anders P Ting is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

This professional is supervised by Daniel Chen and Tyler Reinhard, Principal at TKC Wealth Management, LLC for security products available on management fee-based compensation. Mr. Ting communicates on a regular basis with Mr. Chen and Mr. Reinhard.

Mr. Chen can be reached at 214-389-2000

Mr. Reinhard can be reached at 214-389-2000

This professional is supervised by Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission basis. Ongoing comparisons of fee-based purchases versus commission-based purchases are conducted. Mr. Ting communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151

This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. For insurance products. Mr. Ting communicates on a regular basis with Mr. Chen

Mr. Chen can be reached at 214-389-2000.

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12900 Preston Road, Suite 700
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(214) 389-2000

brian.tsai@tkcadvisors.com
Web site: www.tkcadvisors.com

6/25/2025

Wang-Chang Tsai (Brian)

This brochure supplement provides information about Wang-Chang Tsai (Brian) that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Wang-Chang Tsai is available on the SEC's website at www.adviserinfo.sec.gov.

Actual or Potential Conflicts

It is anticipated that the Firm and its Clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given that various security products with differing internal fees may be purchased on a management fee basis. This conflict will be addressed by providing appropriate client disclosure of any such conflicts as well as ensuring that the terms of the management fee shall be no less than reasonable and competitive with management fees that would be earned by other Investment Advisor Representatives.

Receipt of Management Fees

Wang-Chang Tsai (Brian) is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Wang-Chang Tsai (Brian) has incentive to manage client accounts as a fiduciary, putting the client first.

A.

3. Wang-Chang Tsai (Brian) is an insurance agent for TKC Risk Advisors, LLC, a Texas limited liability company, located at 12900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Mr. Tsai is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with TKC Wealth Management or Landolt Securities which creates an actual or potential conflict of interest given insurance products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other registered investment advisors or broker dealers holding the product selling agreement.

Receipt of Commission, Management Fees, Bonus, or Other Compensation

Wang-Chang Tsai (Brian) is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Brian Tsai does have an incentive to recommend investment products based on the commission or management fees received, rather than on the clients need.

B. Wang Chang Tsai (Brian) is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

This professional is supervised by Daniel Chen and Tyler Reinhard, Principal at TKC Wealth Management, LLC for security products available on management fee-based compensation. Mr. Tsai communicates on a regular basis with Mr. Chen and Mr. Reinhard.

Mr. Chen can be reached at 214-389-2000

Mr. Reinhard can be reached at 214-389-2000

This professional is supervised by Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission basis. Ongoing comparisons of fee-based purchases versus commission-based

purchases are conducted. Mr. Tsai communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151.

This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. For insurance products. Mr. Tsai communicates on a regular basis with Mr. Chen

Mr. Chen can be reached at 214-389-2000.

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TKC Wealth Management, LLC
12900 Preston Road, Suite 700
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(214) 389-2000

michael.chen@tkcadvisors.com
Web site: www.tkcadvisors.com

09/09/2025

Yen-Chun Chen (Michael)

This brochure supplement provides information about Yen-Chun Chen (Michael) that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Yen-Chun Chen is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Chen, Yen-Chun (Michael) - Investment Advisor –Asset Management

Year of Birth	1994
Education:	2024- The University of Texas at Dallas, Master of Finance 2020- Shih Hsin University, Bachelor of Finance
Business Background:	08/2025 to Present TKC Wealth Management LLC Investment Adviser Representative
	03/2024 to Present Landolt Securities, Inc. Registered Representative
	03/2024 to 08/2025 TKC Wealth Management Clerical
	08/2022 to 05/2024 Student Student
	07/2021 to 12/2021 CTBC Bank Financial Advisor
	06/2019 to 07/2021 Student Student

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A.

1. Yen-Chun Chen (Michael) is a registered representative with an unaffiliated broker dealer, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 900 W. North Shore Drive Suite 279, Lake Bluff IL 60044.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Bonus, or Other Compensation

Yen-Chun Chen (Michael) is currently eligible to receive commissions based on the sale of securities or other investment products being an independent registered representative of Landolt Securities, Inc. By having the potential to receive commissions, bonuses, or other compensation Yen-Chun Chen (Michael) could have an incentive to recommend investment products based on the compensation received, rather than on the clients need.

A.

2. Yen-Chun Chen (Michael) a registered Investment Adviser Representative, of TKC Wealth Management, LLC.

Actual or Potential Conflicts

It is anticipated that the Firm and its Clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given that various security products with differing internal fees may be purchased on a management fee basis. This conflict will be addressed by providing appropriate client disclosure of any such conflicts as well as ensuring that the terms of the management fee shall be no less than reasonable and competitive with management fees that would be earned by other Investment Advisor Representatives.

Receipt of Management Fees

Yen-Chun Chen (Michael) is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Yen-Chun Chen (Michael) has incentive to manage client accounts as a fiduciary, putting the client first.

- B. Yen-Chun Chen (Michael) is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

This professional is supervised by Daniel Chen and Tyler Reinhard, Principal at TKC Wealth Management, LLC for security products available on management fee-based compensation. Mr. Chen communicates on a regular basis with Mr. Chen and Mr. Reinhard.

Mr. Chen can be reached at 214-389-2000

Mr. Reinhard can be reached at 214-389-2000

This professional is supervised by Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission basis. Ongoing comparisons of fee-based purchases versus commission-based purchases are conducted. Mr. Chen communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151.

This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. For insurance products. Mr. Chen communicates on a regular basis with Mr. Chen

Mr. Chen can be reached at 214-389-2000.