



Part 2B of Form ADV: TKC Wealth Management, LLC – *Supplemental Brochure*

Item 1: Cover Page

TKC Wealth Management, LLC
12900 Preston Road, Suite 700
Dallas, Texas 75230

(214) 389-2000

TKC Wealth Management, LLC
Branch Office
4100 Newport Place Dr. Suite 660
New Port Beach, CA 92660

(657) 699-1007

Daniel Chen <Daniel.Chen@tkcadvisors.com>

Web site: www.tkcadvisors.com

3/01/2021

Ting Kuo Daniel Chen

This brochure supplement provides information about Ting Kuo Daniel Chen that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ting Kuo Chen is available on the SEC's website at www.adviserinfo.sec.gov.



Item 2: Educational Background and Business Experience

Ting Kuo Daniel Chen – Managing Director – Separate Accounts

Year of Birth 1959

Education: 1988 – University of Texas at Dallas, Master of Managerial Administrative Science

Professional Designations: Certified Public Accountant (CPA)

CPA is the professional designation given to accountants who have passed the Uniform Certified Public Accountant Examination, set by the American Institute of Certified Public Accountants, and have met additional state education and experience requirements. The majority of education and experience requirements mandate education beyond a bachelor's degree in addition to a minimum amount of actual work experience before a candidate becomes a CPA. The designation incorporates an ethics requirement as well as continued professional education. Please note that TKC Wealth Management, LLC nor Mr. Chen provide tax, auditing or any other accounting services in connection with investment management services provided by TKC Wealth Management, LLC or Mr. Chen. Mr. Chen also has no signing authority over any client accounts.

Certified Financial Planner (CFP)

The CFP designation is an international designation given to financial planners who have passed the CFP Board Certification Examination in addition to completing education, experience, and ethics requirements. The certification criteria incorporates areas including, but not limited to: (i) general principles of finance and financial planning; (ii) insurance planning; (iii) employee benefits planning; (iv) investment and securities planning; (v) state and federal income tax planning; (vi) estate tax, gift tax, and transfer tax planning; and retirement planning. CFPs must also have at least the equivalent of three years full-time experience in the financial planning field and be approved by the Board, which includes an ethics, character, and criminal check.

Additional Licenses (FINRA and State)

Mr. Chen is a licensed registered representative, FINRA Series 6 and 63 since 1993, Series 7 since 1994 and a licensed investment advisor Series 65 since 2002.

Business Background: 2015 – Present TKC Wealth Management, LLC-Chief Executive Officer,
Purchased Civitas Wealth Management, LLC
2011 – 2015 Civitas Wealth Management, LLC – Managing Director,
Separate Accounts
2001 – 2011 Chase Investment Service Corp. – Financial Consultant

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A

1. Ting Kuo (Daniel) Chen is an insurance agent for TKC Risk Advisors, LLC (“CRA”) a Texas limited liability company, located at 2900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Mr. Chen is eligible to receive commissions, bonuses, or other compensation due to being registered as an



insurance agent with TKC Risk Advisors, LLC. Mr. Chen is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above, it is anticipated that the Firm and its clients may transact with TKC Risk Advisors, LLC, which creates an actual or potential conflict of interest given Mr. Chen's affiliation with the entity. This conflict will be addressed by providing appropriate client disclosure of any such business relationships, as well as ensuring that the terms of all such relationships shall be no less than commercially reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Management Fees, Bonus, or Other Compensation

Ting Kuo (Daniel) Chen is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Ting Kuo (Daniel) Chen does have an incentive to recommend investment products based on the commission or management fees received, rather than on the clients need.

A.

2. Mr. Chen is a registered representative with an unaffiliated broker dealer, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 141412 N. Highway 83, Antioch, IL 60002.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Daniel Chen's spouse Tina Hou holds a managing director position and fractional ownership in HC2. HC2 offers alternative investment products. TKC Wealth Management and its employees and affiliates may offer clients HC2 products. If TKC Wealth Management (TKCW) offers HC2 products to Clients, full disclosure will be made to the Client of the existing relationship between Tina Hou (HC2) and Daniel Chen (TKCW). The Client will make the decision whether to make an investment with HC2 or not to invest with HC2.

Receipt of Commission, Bonus, or Other Compensation

Ting Kuo (Daniel) Chen is currently eligible to receive commissions based on the sale of securities or other investment products being an independent registered representative of Landolt Securities, Inc. By having the potential to receive commissions, bonuses, or other compensation Daniel Chen incentive to recommend investment products based on the compensation received, rather than on the clients need.

A.

3 Ting Kuo (Daniel) Chen a registered Investment Advisor Representative, of TKC Wealth Management, LLC.

Actual or Potential Conflicts

Actual or Potential Conflicts

It is anticipated that the Firm and its Clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given that various security products with differing internal fees may be purchased on a management fee basis. This conflict will be addressed by providing appropriate client disclosure of any such conflicts as well as ensuring that the terms of the management fee shall be no less than reasonable and competitive with management fees that would be earned by other Investment Advisor Representatives.



Receipt of Management Fees

Ting Kuo (Daniel) Chen is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Ting Kuo (Daniel) Chen has incentive to manage client accounts as a fiduciary, putting the client first.

B. Mr. Chen is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

A. TKC Risk Advisors, LLC is solely owned and operated by Mr. Chen. Mr. Chen discloses this to clients when discussing insurance products with clients. Insurance products are generally not held in fee based accounts thus minimizing conflicts of interest between the investment advisor and insurance agent. This Insurance Agent is supervised by Mr. Ting Kuo (Daniel) Chen.

Mr. Chen can be reached at 214-389-2000

B. This professional is supervised by Mr. Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission bases. Ongoing comparisons of fee based purchases verses commission based purchases are conducted. Mr. Chen communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151.

C. This professional is supervised by Tyler Reinhard, Chief Compliance Officer at TKC Wealth Management, LLC for security products available on a management fee based compensation. Daniel Chen communicates on a regular basis with Mr. Reinhard.

Mr. Reinhard can be reached at 214-389-2000

Item 7: Requirements for State-Registered Advisors

A. Ting Kuo (Daniel) Chen has never been involved or found liable in any claim alleging damages in excess of \$2,500 or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) An investment or an investment-related business or activity;
- (b) Fraud, false statement(s), or omissions;
- (c) Theft, embezzlement, or other wrongful taking of property;
- (d) Bribery, forgery, counterfeiting, or extortion; or
- (e) Dishonest, unfair, or unethical practices.

B. Mr. Chen has never been the subject of a bankruptcy petition.

Part 2B of Form ADV: TKC Wealth Management, LLC – *Supplemental Brochure*

Item 1: Cover Page
ROI

TKC Wealth Management, LLC
12900 Preston Road, Suite 700
Dallas, Texas 75230

(214) 389-2000

TKC Wealth Management, LLC
Branch Office
4100 Newport Place Dr. Suite 660
New Port Beach, CA 92660

(657) 699-1007

Debra Snetting debra.snetting@tkcadvisors.com
[Website: www.tkcadvisors.com](http://www.tkcadvisors.com)

March 1, 2021

Debra Kay Snetting

Additional information about Debra K. Snetting is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Debra K. Snetting- Compliance –TKC Wealth Management LLC

Year of Birth 1955

Education: 1997Almeda College and University, Bachelor of Science, Major Business Administration

Additional Licenses (FINRA and State)

Debra Snetting is a licensed registered representative, FINRA Series 7, 24, 27, 53, 79, 99 and 63 and 66 .

Professional Designations: None at this time

Business Background:	2017 to current	Landolt Securities Broker Dealer- OSJ Compliance
	2016 to current	TKC Wealth Management, LLC-Compliance
	2007 to 2016	Richfield Orion Broker Dealer –Compliance
	2003 to 2007	Intervest International Broker Dealer-Compliance and Marketing

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A

1. Debra K. Snetting, Landolt Securities, Inc. (Landolt) 41412 N. Highway 83, Antioch, IL 60002, Incorporated in the State of Wisconsin Broker Dealer as an (OSJ)

Actual or Potential Conflicts

1. As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission based basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Bonus, or Other Compensation

Debra K. Snetting is eligible to receive compensation based on the supervision of the branch offices of Landolt Securities, Inc., DBA TK Chen Financial Services LLC.

A.

2. Debra K. Snetting, TKC Risk Advisors, LLC, 12900 Preston Road, Suite 700, Dallas, TX 75230, Formed in the State of Texas Insurance Agency as a Supervisor, Compensation eligible based on the supervision of insurance business conducted by licensed agents.

Actual or Potential Conflicts

1. It is unexpected that a conflict of interest will be addressed as Ms. Snetting is acting in a Supervisory role only.

Receipt of Commission, Bonus, or Other Compensation

Debra K. Snetting is eligible to receive compensation based on the supervision of the office of TKC Risk Advisory LLC.

A.

3. Debra K. Snetting, DS Consulting is eligible to receive compensation based on consulting services provided to financial firms in initial start-up process, alternative investments, or other services.

Actual or Potential Conflicts

1. It is unexpected that conflicts will not arise as no consulting business will be conducted with TKC Wealth Management or TKC Risk Advisors, LLC.

Receipt of Commission, Bonus, or Other Compensation
Compensation includes commission and flat or hourly fees.

- B. Debra Snetting is not actively engaged in any business or occupation for compensation not discussed in Item 4.A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

- A. This professional is supervised by Daniel Chen, Principal at TKC Wealth Management, LLC. No production is conducted by Ms. Snetting. Debra Snetting communicates regular basis with Mr. Chen.

Ms. Snetting can be reached at 214-389-2000

Mr. Chen can be reached at 214-389-2000

- B. This professional is supervised by Don McKiernan at Landolt Securities, Inc. for her supervisory role in the Branch Office of Landolt Securities, Inc. DBA TK Chen Financial Services.

Don McKiernan can be reached at 847-838-5151

- C. This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. No production is conducted by Ms. Snetting.

Mr. Chen can be reached at 214-389-2000

Item 7: Requirements for State-Registered Advisors

- A. Debra Snetting has never been involved or found liable in any claim alleging damages in excess of \$2,500 or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) An investment or an investment-related business or activity;
- (b) Fraud, false statement(s), or omissions;
- (c) Theft, embezzlement, or other wrongful taking of property;
- (d) Bribery, forgery, counterfeiting, or extortion; or
- (e) Dishonest, unfair, or unethical practices.

B Debra Snetting has never been the subject of a bankruptcy petition.

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New Port Beach, CA 92660

(657) 699-1007

Tyler Reinhard tyler.reinhard@tkcadvisors.com
[Website: www.tkcadvisors.com](http://www.tkcadvisors.com)

March 1, 2021

Tyler John Reinhard

Additional information about Tyler J. Reinhard is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Tyler J. Reinhard- Compliance – TKC Wealth Management LLC

Year of Birth 1983

Education: 2004 Northeast Wisconsin Technical College, Associate Degree,
Computer Information Science

Additional Licenses (FINRA and State)

Tyler Reinhard is a licensed registered representative and a licensed investment advisor representative, FINRA Series 7 and 66 since 2016; and a licensed firm principal, FINRA Series 24 since 2019.

Professional Designations: None at this time

Business Background: 2021 to current TKC Wealth Management, LLC - Compliance

2014 to current Landolt Securities Broker Dealer - Compliance

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A

1. Mr. Reinhard is a registered representative and investment advisor representative with an unaffiliated broker dealer/registered investment advisory firm, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 41412 N. Highway 83, Antioch, IL 60002. As a registered representative and investment advisor representative of Landolt, Mr. Reinhard may market security products or provide investment advice to clients of Landolt Securities, Inc. Mr. Reinhard is eligible to receive commissions and trails due to holding a Series 7 license and being a registered representative of a broker dealer; and is eligible to receive management fees due to holding a Series 66 license and being an investment advisor representative of an investment advisory firm.

Actual or Potential Conflicts

1. It is expected that any conflict of interest will be addressed as Mr. Reinhard is acting in a supervisory role only.

Receipt of Commission, Bonus, or Other Compensation

Tyler J. Reinhard is eligible to receive commission based on the sale of securities being a registered representative of Landolt Securities, Inc.

Tyler J. Reinhard is eligible to receive fee-based compensation for investment advice being an investment advisor representative of Landolt Securities, Inc.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

- A. This professional is supervised by Daniel Chen, Principal at TKC Wealth Management, LLC. No production is conducted by Mr. Reinhard. Tyler Reinhard communicates on a regular basis with Mr. Chen.

Mr. Reinhard can be reached at 214-389-2000

Mr. Chen can be reached at 214-389-2000

- B. This professional is supervised by Donald McKiernan at Landolt Securities, Inc. for his supervisory role as well as for his registered representative role at Landolt Securities, Inc.

Donald McKiernan can be reached at 847-838-5151

- C. This professional is supervised by Matthew McKiernan at Landolt Securities, Inc. for his investment advisor representative role at Landolt Securities, Inc.

Matthew McKiernan can be reached at 847-838-5151

Item 7: Requirements for State-Registered Advisors

- A Tyler Reinhard has never been involved or found liable in any claim alleging damages in excess of \$2,500 or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) An investment or an investment-related business or activity;
- (b) Fraud, false statement(s), or omissions;
- (c) Theft, embezzlement, or other wrongful taking of property;
- (d) Bribery, forgery, counterfeiting, or extortion; or
- (e) Dishonest, unfair, or unethical practices.

- B Tyler Reinhard has never been the subject of a bankruptcy petition.

Part 2B of Form ADV: TKC Wealth Management, LLC – *Supplemental Brochure*

Item 1: Cover Page

TKC Wealth Management, LLC
12900 Preston Road, Suite 700
Dallas, Texas 75230

(214) 389-2000

lilly.han@tkcadvisors.com
Web site: www.tkcadvisors.com

3/1/2021

Lin Han (Lilly)

This brochure supplement provides information about :Lin Han (Lilly) that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Lin Han (Lilly) will not be conducting business in the State of New York.

Additional information about Lin Han is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Lin (Lilly) Han- Investment Advisor –TKC Wealth Management LLC

Year of Birth 05/03/1966

Education: Bachelor degree

Professional Designations: None at this time

Business Background:2017 to present Landolt Securities, Inc., B/D, Registered Representative

 2017 to present TKC Wealth Management, LLC, Retirement Specialist

 2015 to 2016 Home Maker

 2014 to 2015 Outotec Shanghai Co. Ltd., Procurement Manager

 2005 to 2014 Foster Wheeler Power Group Asia, Procurement Manager

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A.

1. Lin Han (Lilly) is a registered representative with an unaffiliated broker dealer, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 141412 N. Highway 83, Antioch, IL 60002.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Bonus, or Other Compensation

Lin Han (Lilly) is currently eligible to receive commissions based on the sale of securities or other investment products being an independent registered representative of Landolt Securities, Inc. By having the potential to receive commissions, bonuses, or other compensation Lin Han (Lilly) could have an incentive to recommend investment products based on the compensation received, rather than on the clients need.

A.

2. Lin Han (Lilly) a registered Investment Advisor Representative, of TKC Wealth Management, LLC.

Actual or Potential Conflicts

It is anticipated that the Firm and its Clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given that various security products with differing internal fees may be purchased on a management fee basis. This conflict will be addressed by providing appropriate client disclosure of any such conflicts

as well as ensuring that the terms of the management fee shall be no less than reasonable and competitive with management fees that would be earned by other Investment Advisor Representatives.

Receipt of Management Fees

Lin Han (Lilly) is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Lin Han (Lilly) has incentive to manage client accounts as a fiduciary, putting the client first.

A.

3. Lin Han (Lilly) is an insurance agent for TKC Risk Advisors, LLC ("CRA") a Texas limited liability company, located at 2900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Ms. Han is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with TKC Wealth Management or Landolt Securities which creates an actual or potential conflict of interest given insurance products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other registered investment advisors or broker dealers holding the product selling agreement.

Receipt of Commission Management Fees, Bonus, or Other Compensation

Lin Han (Lilly) is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Lilly Han does have an incentive to recommend investment products based on the commission or management fees received, rather than on the clients need.

B. Lin Han (Lilly) is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

A. This professional is supervised by Daniel Chen and Tyler Reinhard, Principal at TKC Wealth Management, LLC for security products available on management fee-based compensation. Ms. Han communicates on a regular basis with Mr. Chen and Mr. Reinhard.

Mr. Chen can be reached at 214-389-2000

Mr. Reinhard can be reached at 214-389-2000

B. This professional is supervised by Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission bases. Ongoing comparisons of fee-based purchases verses commission basis purchases are conducted. Ms. Han communicates directly and frequently with Mr McKiernan.

Mr. McKiernan can be reached at 847-838-5151

C. This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. For insurance products. Ms. Han communicates on a regular basis with Mr. Chen.

Item 7: Requirements for State-Registered Advisors

- A. Lin (Lilly) Han has never been involved or found liable in any claim alleging damages in excess of \$2,500 or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) An investment or an investment-related business or activity;
 - (b) Fraud, false statement(s), or omissions;
 - (c) Theft, embezzlement, or other wrongful taking of property;
 - (d) Bribery, forgery, counterfeiting, or extortion; or
 - (e) Dishonest, unfair, or unethical practices.

- B. Lin (Lilly) Han has never been the subject of a bankruptcy petition.

Part 2B of Form ADV: TKC Wealth Management, LLC – *Supplemental Brochure*

Item 1: Cover Page

TKC Wealth Management, LLC
12900 Preston Road, Suite 700
Dallas, Texas 75230

(214) 389-2000

brian.tsai@tkcadvisors.com
Web site: www.tkcadvisors.com

3/01/2021

Wang-Chang Tsai (Brian)

This brochure supplement provides information about Wang-Chang Tsai (Brian) that supplements the TKC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact the Chief Compliance Officer at 214-389-2000 or via email at Tyler Reinhard at Compliance@tkcadvisors.com if you did not receive TKC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Wang-Chang Tsai is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Tsai, Wang-Chang (Brian) - Investment Advisor –Asset Management

Year of Birth 1990

Education: 2016- The University of Texas at Dallas, Master of Finance 2013- National Central University, Bachelor of Finance

Professional Designations: None at this time

Business Background: 2018 to Current Landolt Securities, Inc.
 2018 to TKC Risk Advisors, Inc.
 2016 to Present TKC Wealth Management, LLC,

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A.

1. Wang-Chang Tsai (Brian) is a registered representative with an unaffiliated broker dealer, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 141412 N. Highway 83, Antioch, IL 60002.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Bonus, or Other Compensation

Wang-Chang Tsai (Brian) is currently eligible to receive commissions based on the sale of securities or other investment products being an independent registered representative of Landolt Securities, Inc. By having the potential to receive commissions, bonuses, or other compensation Wang-Chang Tsai (Brian) could have an incentive to recommend investment products based on the compensation received, rather than on the clients need.

A.

2. Wang-Chang Tsai (Brian) a registered Investment Advisor Representative, of TKC Wealth Management, LLC.

Actual or Potential Conflicts

It is anticipated that the Firm and its Clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given that various security products with differing internal fees may be purchased on a management fee basis. This conflict will be addressed by providing appropriate client disclosure of any such conflicts as well as ensuring that the terms of the management fee shall be no less than reasonable and competitive with management fees that would be earned by other Investment Advisor Representatives.

Receipt of Management Fees

Wang-Chang Tsai (Brian) is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC. By having the potential to receive management fees based on the value of the client's account, Wang-Chang Tsai (Brian) has incentive to manage client accounts as a fiduciary, putting the client first.

A.

3. Wang-Chang Tsai (Brian) is an insurance agent for TKC Risk Advisors, LLC ("CRA") a Texas limited liability company, located at 2900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Mr. Tsai is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with TKC Wealth Management or Landolt Securities which creates an actual or potential conflict of interest given insurance products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other registered investment advisors or broker dealers holding the product selling agreement.

Receipt of Commission, Management Fees, Bonus, or Other Compensation

Wang-Chang Tsai (Brian) is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Brian Tsai does have an incentive to recommend investment products based on the commission or management fees received, rather than on the clients need.

B. Wang Chang Tsai (Brian) is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

This professional is supervised by Daniel Chen and Tyler Reinhard, Principal at TKC Wealth Management, LLC for security products available on management fee based compensation. Mr. Tsai communicates on a regular basis with Mr. Chen and Mr. Reinhard.

Mr. Chen can be reached at 214-389-2000

Mr. Reinhard can be reached at 214-389-2000

This professional is supervised by Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission bases. Ongoing comparisons of fee based purchases verses commission based purchases are conducted. Mr. Tsai communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151

This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. For insurance products. Mr. Tsai communicates on a regular basis with Mr. Chen

Mr. Chen can be reached at 214-389-2000

Item 7: Requirements for State-Registered Advisors

- A. Wang-Chang Tsai (Brian) has never been involved or found liable in any claim alleging damages in excess of \$2,500 or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) An investment or an investment-related business or activity;
 - (b) Fraud, false statement(s), or omissions;
 - (c) Theft, embezzlement, or other wrongful taking of property;
 - (d) Bribery, forgery, counterfeiting, or extortion; or
 - (e) Dishonest, unfair, or unethical practices.

- B. Wang-Chang Tsai (Brian) has never been the subject of a bankruptcy petition.

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Daniel.Lin@tkcadvisors.com
Web site: www.tkcadvisors.com

04-23-2021

Daniel Kuey Rong Lin

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Additional information about Daniel Kuey Rong Lin is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Daniel Kuey Rong Lin - Investment Advisor –Asset Management

Year of Birth 1997

Education: 2020- Ecole Hoteliere de Lausanne; Bachelor of Science in International Hospitality Management

Professional Designations: None at this time

Business Background:

2020 to Present- TKC Wealth Management, LLC

2020 to Present- Landolt Securities, Inc.

2020 to Present- TKC Risk Advisors, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary actions to report on this professional.

Item 4: Other Business Activities

A.

1. Daniel Kuey Rong Lin is a registered representative with an unaffiliated broker dealer, Landolt Securities, Inc. Landolt Securities, Inc. is a member of FINRA/SIPC, located at 41412 N. Highway 83, Antioch, IL 60002.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with Landolt Securities, Inc. which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other broker dealers holding the product selling agreement.

Receipt of Commission, Bonus, or Other Compensation

Daniel Kuey Rong Lin is currently eligible to receive commissions based on the sale of securities or other investment products being an independent registered representative of Landolt Securities, Inc. By having the potential to receive commissions, bonuses, or other compensation Daniel Kuey Rong Lin could have an incentive to recommend investment products based on the compensation received, rather than on the clients need.

A.

2. Daniel Kuey Rong Lin is eligible to receive management fee compensation based on the position of Investment Advisor Representative, of TKC Wealth Management, LLC.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with TKC Wealth Management which creates an actual or potential conflict of interest given security products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the management fee shall be no less than reasonable and competitive with amounts that would be paid to other Investment Advisor Representatives.

Receipt of Management Fees

Daniel Kuey Rong Lin is eligible to receive management fee compensation based on the position of being an investment advisor of TKC Wealth Management. Daniel Kuey Rong Lin has an incentive to manage client accounts as a fiduciary, putting the client first.

A.

3. Daniel Kuey Rong Lin is an insurance agent for TKC Risk Advisors, LLC (“CRA”) a Texas limited liability company, located at 2900 Preston Road, Suite 700, Dallas, Texas 75230 registered with the Texas Department of Insurance. The Firm may market insurance products to clients of TKC Wealth Management, LLC. Mr. Lin is eligible to receive commissions, bonuses, or other compensation due to being registered as an insurance agent with TKC Risk Advisors.

Actual or Potential Conflicts

As noted above it is anticipated that the Firm and its clients may transact with TKC Wealth Management or Landolt Securities which creates an actual or potential conflict of interest given insurance products may be purchased either on a fee or commission basis. This conflict will be addressed by providing appropriate client disclosure of any such business conflicts as well as ensuring that the terms of the transaction shall be no less than reasonable and competitive with amounts that would be paid to other registered investment advisors or broker dealers holding the product selling agreement.

Receipt of Commission, Bonus, or Other Compensation

Daniel Kuey Rong Lin is currently eligible to receive commissions or management fees based on the sale of securities or other investment products being an Insurance Agent of TKC Risk Advisors, LLC. Daniel Kuey Rong Lin does have an incentive to recommend investment products based on the commission or management fees received, rather than on the clients need.

B. Daniel Kuey Rong Lin is not actively engaged in any business or occupation for compensation not discussed in Item A above.

Item 5: Additional Compensation

There is no additional compensation to report on this professional.

Item 6: Supervision

This professional is supervised by Daniel Chen and Tyler Reinhard, Principal at TKC Wealth Management, LLC for security products available on management fee based compensation. Mr. Lin communicates on a regular basis with Mr. Chen and Mr. Reinhard.

Mr. Chen can be reached at 214-389-2000

Mr. Reinhard can be reached at 214-389-2000

This professional is supervised by Don McKiernan, Principal at Landolt Securities for security products available both on a fee and commission bases. Ongoing comparisons of fee based purchases verses commission based purchases are conducted. Mr. Lin communicates directly and frequently with Mr. McKiernan.

Mr. McKiernan can be reached at 847-838-5151

This professional is supervised by Daniel Chen, Principal at TKC Risk Advisors, LLC. For insurance products. Mr. Lin communicates on a regular basis with Mr. Chen

Mr. Chen can be reached at 214-389-2000

Item 7: Requirements for State-Registered Advisors

- A. Daniel Kuey Rong Lin has never been involved or found liable in any claim alleging damages in excess of \$2,500 or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) An investment or an investment-related business or activity;
 - (b) Fraud, false statement(s), or omissions;
 - (c) Theft, embezzlement, or other wrongful taking of property;
 - (d) Bribery, forgery, counterfeiting, or extortion; or
 - (e) Dishonest, unfair, or unethical practices.

- B. Daniel Kuey Rong Lin has never been the subject of a bankruptcy petition.